

**Ryan Michael Haiss  
Brochure Supplement**



**CAPITAL MANAGEMENT**

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**Firm Contact:  
Richard Zito  
Chief Compliance Officer**

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This brochure supplement provides information about Ryan Haiss that supplements our Form ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Mr. Zito, Managing Member and Chief Compliance Officer, if you did not receive our firm's brochure or if you have any questions about the contents of this supplement. Additional information about Ryan Haiss is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

**Ryan Haiss**

**Year of Birth:** 1990

### **Educational Background:**

- 2012 – Towson; Bachelor of Science in Business Administration
- 2013 – Series 7 & 63 Exams
- 2017 – Certified Financial Planner (CFP)
- 2022 – Series 24 Exam

### **Business Background:**

- 03/2012 – Present Flynn Zito Capital Management, LLC; Investment Adviser
- 03/2019 – Present LPL Financial Corporation; Registered Representative
- 10/2012 – Present Flynn Zito Capital Management, LLC; Trade Desk Operations Manager
- 01/2012 – 05/2012 Morgan Stanley

### **CERTIFIED FINANCIAL PLANNER (CFP®)**

Mr. Haiss has earned the CFP® designation. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in two three hour sections over the course of one day, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard

of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Haiss.

### **Item 4: Other Business Activities**

Mr. Haiss is a registered representative of LPL Financial Corporation, member FINRA/SIPC. In such capacity, he may sell securities through LPL Financial but does not receive commissions as a result of such purchases and sales. The client is under no obligation to purchase or sell securities on a commissionable basis.

### **Item 5: Additional Compensation**

Mr. Haiss does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Zito supervises and monitors Mr. Haiss's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Zito if you have any questions about Mr. Haiss's brochure supplement at 516-746-9000.